

Parametric Resonance in Bose-Einstein Condensates

William Cairncross*

*Institut für Theoretische Physik, Freie Universität Berlin, Arnimallee 14, D-14195 Berlin, Germany and
Department of Physics, Queen's University at Kingston, Canada*

Axel Pelster†

*Fachbereich Physik und Forschungszentrum OPTIMAS,
Technische Universität Kaiserslautern, Germany and
Hanse-Wissenschaftskolleg, Lehmkuhlenbusch 4, D-27733 Delmenhorst, Germany
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We demonstrate parametric resonance in Bose-Einstein condensates (BECs) with attractive two-body interaction in a harmonic trap under parametric excitation by periodic modulation of the s -wave scattering length. We obtain nonlinear equations of motion for the widths of the condensate using a Gaussian variational ansatz for the Gross-Pitaevskii condensate wave function. We conduct both linear and nonlinear stability analyses for the equations of motion and find qualitative agreement, thus concluding that the stability of two equilibrium widths of a BEC might be inverted by parametric excitation.

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The phenomenon of parametric resonance, when a system is parametrically excited and oscillates at one of its resonant frequencies, is ubiquitous in physics: the phenomenon is found from simple classical systems such as the child's swing and the vertically driven pendulum [1], to the Paul ion trap [2] and aspects of some inflation models of the universe [3]. Parametrically excited systems are usually nonlinear: even the parametrically driven pendulum is governed by the linear Mathieu equation only for small oscillations about its equilibrium positions. Nonetheless, valuable qualitative insight into these systems may be gained by investigating their behavior in the vicinity of equilibrium points.

In the realm of ultracold quantum gases, initial studies of parametric resonance have featured Faraday patterns [4–6], Kelvin waves of quantized vortex lines [7], self-trapped condensates [8, 9], bright and vortex solitons [10, 11], and self-damping at zero temperature [12]. Recently, it was shown for a shaken optical lattice that a periodic driving can even induce a quantum phase transition from a Mott insulator to a superfluid [13, 14], paving the way for new techniques to engineer exotic phases [15, 16]. Other novel experimental techniques [17, 18] to excite a Bose-Einstein condensate (BEC) of ^7Li in the vicinity of a broad Feshbach resonance [19] by harmonic modulation of the s -wave scattering length, in contrast to the usual method of excitation by modulation of the trapping potential [20–23], have inspired investigations of parametric resonance and other phenomena in Refs. [11, 25–27]. Conspicuously absent from these investigations is a study of the simplest case of parametric resonance in Bose-Einstein condensates: a systematic stability analysis for the parametrically excited three-dimensional BEC in a harmonic trap. Therefore, we conduct in this letter a lucid proof-of-concept study

of such a parametrically excited BEC by demonstrating that within both a linear analytic and a nonlinear numeric analysis the stability characteristics of its equilibrium configurations can be changed.

We start with modeling the dynamics of a BEC at zero temperature using the mean-field Gross-Pitaevskii (GP) Lagrangian

$$L(t) = \int \left[\frac{i\hbar}{2} \left(\psi \frac{\partial \psi^*}{\partial t} - \psi^* \frac{\partial \psi}{\partial t} \right) - \frac{\hbar^2}{2m} |\nabla \psi|^2 - V(\mathbf{r}) |\psi|^2 - \frac{2\pi\hbar^2 a(t)}{m} |\psi|^4 \right] d\mathbf{r}. \quad (1)$$

The functional derivative of the Lagrangian results in the well-known GP equation for the dynamics of the mean-field condensate wave function $\psi = \psi(\mathbf{r}, t)$. In experiments generically a cylindrically-symmetric harmonic trapping potential $V(\mathbf{r}) = m\omega_\rho^2(\rho^2 + \lambda^2 z^2)/2$ is used, whose elongation is described by the trap anisotropy parameter $\lambda = \omega_z/\omega_\rho$. Furthermore, we assume that the s -wave scattering length is periodically modulated according to

$$a(t) = a_0 + a_1 \sin \Omega t. \quad (2)$$

In the following we will investigate how the stability of condensate equilibria depends on both the driving amplitude a_1 and the driving frequency Ω , provided the time-averaged s -wave scattering length a_0 is slightly negative. In principle, this could be analyzed by solving the underlying GP equation for the condensate wave function $\psi = \psi(\mathbf{r}, t)$, which follows from extremizing the Lagrangian (1). However, the thorough numerical analysis in Ref. [25] demonstrated convincingly that the dynamics of the GP equation can be well-approximated within a Gaussian variational ansatz for the GP condensate wave function [28, 29]. Even for long evolution times and in

the vicinity of resonances, where oscillations of the condensate are quite large, it was possible to reduce the GP partial differential equation to a set of ordinary differential equations for the variational parameters.

Therefore, we follow the latter approach and employ the Gaussian ansatz

$$\psi^G(\rho, z, t) = \mathcal{N}(t) \exp \left[-\frac{\rho^2}{2\tilde{u}_\rho^2} + i\rho^2\phi_\rho \right] \times \exp \left[-\frac{z^2}{2\tilde{u}_z^2} + iz^2\phi_z \right], \quad (3)$$

with time-dependent variational widths \tilde{u}_ρ , \tilde{u}_z , phases ϕ_ρ , ϕ_z , and normalization $\mathcal{N}(t) = N^{1/2}\pi^{3/2}\tilde{u}_\rho^{-1}\tilde{u}_z^{-1/2}$. Inserting the Gaussian ansatz (3) into the GP Lagrangian (1) and extremizing with respect to all variational parameters, we obtain at first explicit expressions for the phases $\phi_{\rho,z} = m\dot{\tilde{u}}_{\rho,z}/(2\hbar\tilde{u}_{\rho,z})$. We define the dimensionless time $\tau = \omega_\rho t$ and scale the variational widths by $u_{\rho,z} = \tilde{u}_{\rho,z}/a_{\text{ho}}$, where $a_{\text{ho}} = \sqrt{\hbar/(m\omega_\rho)}$ is the harmonic oscillator length. Finally, we write the dimensionless driving function $p(\tau) = p_0 + p_1 \sin(\Omega\tau/\omega_\rho)$ according to the definitions $p_{0,1} = \sqrt{2/\pi}Na_{0,1}/a_{\text{ho}}$. The resulting dynamics for the widths u_ρ and u_z is then determined by a pair of coupled nonlinear ordinary differential equations:

$$\begin{aligned} \ddot{u}_\rho + u_\rho &= \frac{1}{u_\rho^3} + \frac{p(\tau)}{u_\rho^3 u_z}, \\ \ddot{u}_z + \lambda^2 u_z &= \frac{1}{u_z^3} + \frac{p(\tau)}{u_\rho^2 u_z^2}. \end{aligned} \quad (4)$$

For attractive two-body interactions, there exists a critical value of the time-averaged interaction strength $p_0^{\text{crit}}(\lambda) < 0$ beyond which no equilibria exist in the absence of parametric driving. Its dependence on the trap anisotropy λ must be evaluated numerically, as for example in Ref. [27]. For $p_0^{\text{crit}}(\lambda) < p_0 < 0$, there exists a pair of equilibrium points for Eqs. (4), one stable and one unstable [28, 29], which we denote with \mathbf{u}_{0+} and \mathbf{u}_{0-} , respectively. We remark that the stability of these points in the absence of parametric driving is determined by evaluating the frequencies of collective modes for small oscillations about equilibrium [27]. Whereas the equilibrium \mathbf{u}_{0+} has real frequencies for all modes and, thus, is stable, the equilibrium \mathbf{u}_{0-} possesses an imaginary frequency for one mode, implying exponential behaviour and thus instability.

In view of a linear stability analysis we assume small oscillations about an equilibrium, write $u_\rho \approx u_{\rho 0} + \delta u_\rho$ and $u_z \approx u_{z 0} + \delta u_z$, and expand the nonlinear terms of Eqs. (4) to first order in δu_ρ and δu_z . We scale and translate time as $2t' + \pi/2 = \Omega\tau/\omega_\rho$, define displacement and forcing vectors $\mathbf{x}(t')$ and \mathbf{f} ,

$$\mathbf{x}(t') = \begin{pmatrix} \delta u_\rho(\tau) \\ \delta u_z(\tau) \end{pmatrix}, \quad \mathbf{f} = 4 \left(\frac{\omega_\rho}{\Omega} \right)^2 \begin{pmatrix} \frac{p_1}{u_{\rho 0}^3 u_{z 0}} \\ \frac{p_1}{u_{\rho 0}^2 u_{z 0}^2} \end{pmatrix}, \quad (5)$$

and finally we introduce the matrices \mathbf{A} and \mathbf{Q} corresponding to constant and periodic coefficients, respectively:

$$\begin{aligned} \mathbf{A} &= 4 \left(\frac{\omega_\rho}{\Omega} \right)^2 \begin{pmatrix} 4 & \frac{p_0}{u_{\rho 0}^3 u_{z 0}^2} \\ \frac{2p_0}{u_{\rho 0}^3 u_{z 0}^2} & 3\lambda^2 + \frac{1}{u_{z 0}^4} \end{pmatrix}, \\ \mathbf{Q} &= -2 \left(\frac{\omega_\rho}{\Omega} \right)^2 \begin{pmatrix} \frac{3}{u_{\rho 0}^4 u_{z 0}} & \frac{1}{u_{\rho 0}^3 u_{z 0}^2} \\ \frac{2}{u_{\rho 0}^3 u_{z 0}^2} & \frac{2}{u_{\rho 0}^2 u_{z 0}^3} \end{pmatrix}. \end{aligned} \quad (6)$$

The result is a set of coupled, asymmetric, inhomogeneous Mathieu equations,

$$\ddot{\mathbf{x}}(t') + (\mathbf{A} - 2p_1 \mathbf{Q} \cos 2t') \mathbf{x}(t') = \mathbf{f} \cos 2t', \quad (7)$$

whose solutions determine whether the underlying equilibrium is stable or unstable.

The Mathieu equation, a special case of Hill's differential equation [30], has been studied extensively in the literature [31]: approaches to obtaining the equation's stability diagram include continued fractions [30, 32, 33], perturbative methods [34–36], and infinite determinant methods [37–40]. The problem has been treated in great detail in Ref. [41] for the study of the Paul trap, the stability of which is governed exactly by a set of coupled homogeneous Mathieu equations. Of importance to our particular problem are Refs. [42, 43], where it was shown that for both single and coupled Mathieu equations, an inhomogeneous term does not affect the location of stability borders to Eq. (7). In the following, we choose the concise continued-fraction method based on the approach of Refs. [32, 33].

The π -periodic parametric driving of the Mathieu equation permits the application of Floquet theory, the essential statement of which is that each of the two fundamental solutions $\mathbf{x}_{1,2}(t')$ to Eq. (7) may be written in the form [44]

$$\mathbf{x}_{1,2}(t') = e^{\pm \beta t'} \sum_{n=-\infty}^{\infty} \mathbf{b}_{2n} e^{2int'}, \quad (8)$$

where the π -periodic part consists of Fourier components \mathbf{b}_{2n} and the exponential part is characterized by the Floquet exponent β , which determines the stability of the solution. Due to the presence of both signs of the exponent in a general solution, we require for stability that $\Re[\beta] = 0$. On the stability borders, solutions to the Mathieu equation are $m\pi$ -periodic with $m \in \mathbb{Z}$. Thus to obtain the stability borders, we set $\beta = mi$ in Eq. (8). By substitution of the Floquet ansatz (8) into Eq. (7), we obtain a third-order recurrence relation for the Fourier coefficients \mathbf{b}_{2n} :

$$[\mathbf{A} + (\beta + 2in)^2 \mathbf{I}] \mathbf{b}_{2n} - p_1 \mathbf{Q} (\mathbf{b}_{2n+2} + \mathbf{b}_{2n-2}) = \mathbf{0}. \quad (9)$$

We define the ladder operators $\mathbf{S}_{2n}^\pm \mathbf{b}_{2n} = \mathbf{b}_{2n\pm 2}$ as

$$\mathbf{S}_{2n}^\pm = \left\{ \mathbf{A} + [\beta + 2i(n+1)]^2 \mathbf{I} - p_1 \mathbf{Q} \mathbf{S}_{2n\pm 2}^\pm \right\}^{-1} p_1 \mathbf{Q}, \quad (10)$$

and by repeated re-substitution of these ladder operators into the recursion relation (9) for n increasing and decreasing from zero, we obtain a tri-diagonal matrix-valued continued fraction relating the parameters \mathbf{A} , \mathbf{Q} , and β :

$$\left(\mathbf{A} + \beta^2 \mathbf{I} - p_1^2 \mathbf{Q} \left\{ \left[\mathbf{A} + (\beta + 2i)^2 - \dots \right]^{-1} + \left[\mathbf{A} + (\beta - 2i)^2 - \dots \right]^{-1} \right\} \mathbf{Q} \right) \mathbf{b}_0 = \mathbf{0}. \quad (11)$$

In order to obtain a non-trivial solution for \mathbf{b}_0 , the determinant of the matrix-valued continued fraction in Eq. (11) must vanish. The resulting stability for a particular value of the modulation frequency Ω and the dimensionless driving amplitude p_1 is then shown in the linear stability diagram of Fig. 1 for three characteristic values of the trap anisotropy λ . Our results indicate that for the unstable (stable) equilibrium position, the largest region of stability (instability) occurs for a pancake BEC, i.e., for $\lambda > 1$.

As a special case the stability borders for the isotropic condensate are given by a separate continued fraction, obtained by an analogous process for a single inhomogeneous Mathieu equation. The resulting stability diagrams are shown in Fig. 2. It allows to draw a direct analogy between the isotropic condensate and the parametrically driven pendulum: the pendulum too is described by a single Mathieu equation, however the inhomogeneous term in the equation of motion for the BEC corresponds to a direct periodic driving in phase with the parametric driving. It was shown in Ref. [42] that a periodic inhomogeneity has no effect on the stability borders for a single Mathieu equation, so Fig. 2 is simply a transformation of the standard Ince-Strutt stability diagram for the parametrically driven pendulum [30], for the relevant experimental parameters of the BEC.

While the results of linear stability analysis for the coupled Mathieu system are qualitatively similar to those for the single equation, there are a number of notable changes between Figs. 1 and 2. First, for the coupled Mathieu equations, there exist a set of stability regions not attainable by the analytic method used here. These are displayed without black borders in Fig. 1, and correspond to the so-called ‘‘combined resonances’’ of the system [39, 41]. These regions are attainable by numerical stability analysis of the Mathieu equations [41, 44, 45], which was used to generate the colored background regions of Fig. 1. It is notable in Fig. 1 that these anomalous regions are not present for $\lambda = 1$.

A second and important difference from the single to the coupled Mathieu equations is the appearance of a

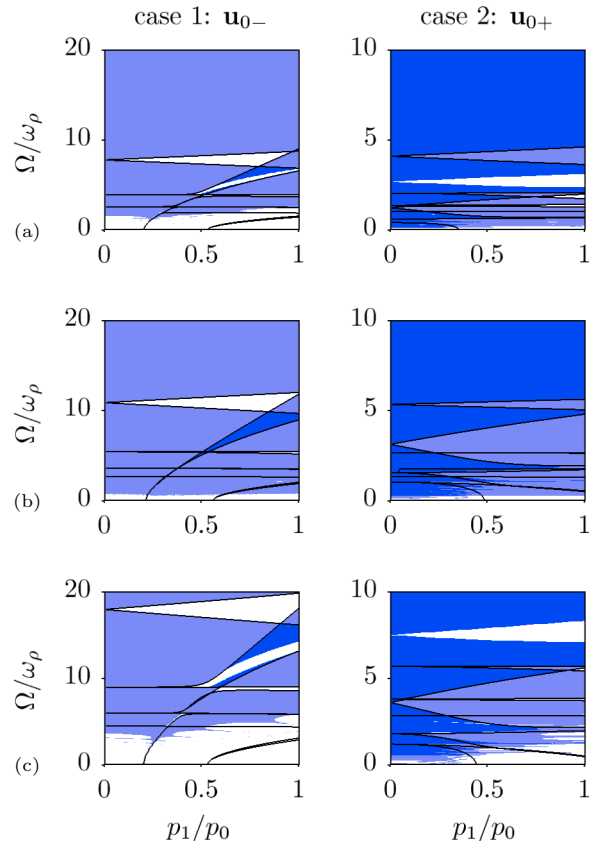


FIG. 1. Linear stability diagrams for the unstable (case 1) and stable (case 2) equilibrium positions of a cylindrically-symmetric BEC for three values of the trap anisotropy λ : (a) $\lambda = 0.2$ (a cigar-shaped BEC), (b) $\lambda = 1$ (spherical BEC), and (c) $\lambda = 2.6$ (pancake-shaped BEC). White regions correspond to unstable solutions, darkest shaded regions to stable solutions, and lightly shaded regions correspond to marginally stable solutions – regions where only one of two available collective oscillation modes is stable.

new region, shaded white and issuing from $\Omega/\omega_p \approx 10$ in Fig. 1 for $\lambda = 1$, case 1. This region is identified with the instability of the quadrupole collective mode, which does not appear in a one-dimensional analysis. This result implies that a three-dimensional analysis might result in further changes to the linear stability diagram of Fig. 1 for $\lambda = 1$, case 1.

As the underlying equations of motion (4) are inherently nonlinear, a linear analytic stability analysis alone is not sufficient to investigate the phenomenon of parametric resonance. Therefore, we have also performed a detailed numerical stability analysis by integrating the equations of motion (4) over a long time-period using a Runge-Kutta-Verner 8(9) order algorithm, incrementing through pairs (p_1, Ω) and recording divergent solutions to obtain the corresponding stability diagram. The corresponding results are shown in Fig. 3 for the same three values of the trap anisotropy λ as in Fig. 1.

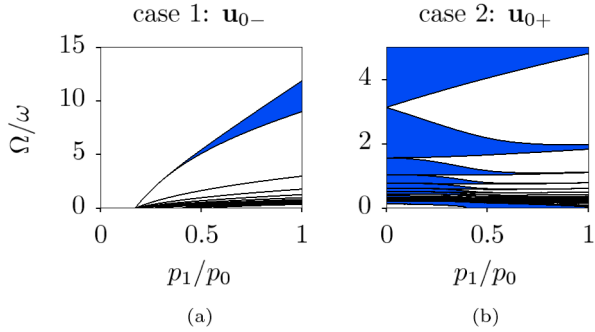


FIG. 2. Linear stability diagrams for (a) unstable and (b) stable equilibria of an isotropic BEC. Shaded and white regions indicate stable and unstable solutions, respectively. The presence of a stable region in (a) indicates that an originally unstable equilibrium might be stabilized by parametric excitation.

The results for the originally stable equilibrium \mathbf{u}_{0+} show both qualitative and even quantitative similarity to the linear stability analysis of Fig. 1, i.e., a similar tonguelike structure of unstable regions issuing from certain points on the vertical axis. The originally unstable equilibrium \mathbf{u}_{0-} also shows qualitative similarity to the linear analysis of Fig. 1, however the region of stability begins only for much larger modulation frequency Ω and dimensionless driving amplitude p_1 . These results are both reasonable, as the linear stability analysis is only valid for small oscillations – corresponding to large (p_1, Ω) for equilibrium \mathbf{u}_{0+} and small (p_1, Ω) for equilibrium \mathbf{u}_{0-} . Furthermore, in contrast to Fig. 1, we find in the nonlinear stability diagram of Fig. 3 that stability is more easily achieved for a cigar-shaped BEC, i.e., for $\lambda < 1$.

Further comparison of the linear and nonlinear stability diagrams of Figs. 1 and 3 shows the possibility of both simultaneous stability of the equilibrium positions, and even the possibility of a complete reversal of the stability characteristics. In the latter case, the smaller equilibrium position would become the only stable width of the condensate, which should be experimentally observable. A final observation, applicable to the originally unstable equilibrium in both linear and nonlinear cases, is the existence of a minimum driving amplitude p_1^{\min} necessary to stabilize the condensate. The value $p_1^{\min} = u_0(5u_0^4 - 1) \approx 0.17 p_0$ is exactly attainable for the linear analysis of the isotropic condensate, and in Fig. 1 is approximately independent of λ in the considered range $[0.2, 2.6]$. For the nonlinear analysis, $p_1^{\min} \approx 1.2 p_0$ is also approximately independent of λ . This feature will have implications for an experiment, as in conjunction with the width of the Feshbach resonance, it dictates the minimum modulation of the applied magnetic field necessary to stabilize the condensate.

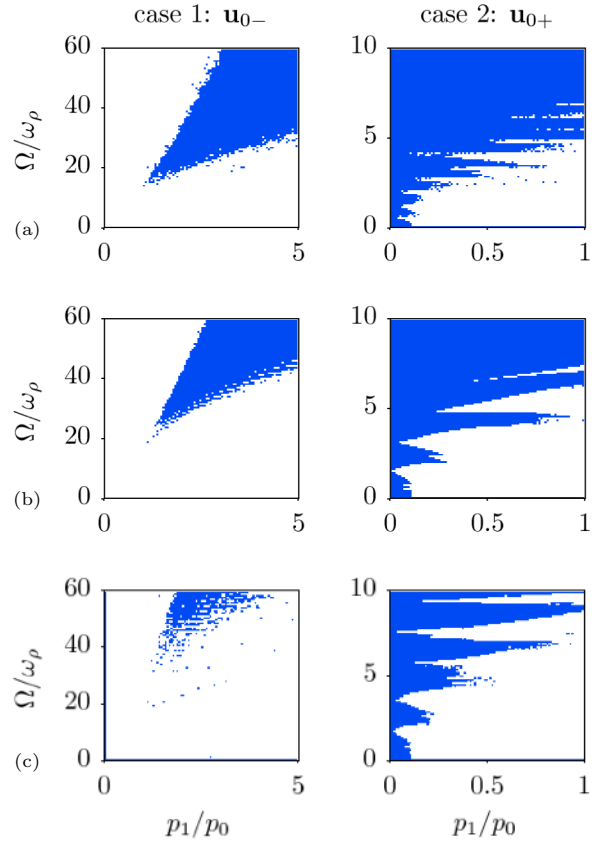


FIG. 3. Nonlinear stability diagrams for the unstable (left) and stable (right) equilibria of a cylindrically-symmetric BEC, for three values of the trap anisotropy λ : (a) $\lambda = 0.2$ (a cigar-shaped BEC), (b) $\lambda = 1$ (spherical BEC), and (c) $\lambda = 2.6$ (pancake BEC).

We note that any stability diagram depends on the particular choice for the time-averaged dimensionless interaction strength p_0 . The concrete results in Figs. 1–3 were obtained for the particular value $p_0 = 0.9 p_0^{\text{crit}}(\lambda)$. As p_0 approaches $p_0^{\text{crit}}(\lambda)$, we generically observe a growth of the stable (unstable) regions in case 1 (2).

Finally, we conclude that our proof-of-concept investigation has unambiguously shown that the phenomenon of parametric resonance should be experimentally observable also for Bose-Einstein condensation. However, due to the intrinsic nonlinear nature of the underlying GP mean-field theory, a linear analysis, like in the Paul trap, is not sufficient to quantitatively study the stability diagram. Thus, in order to achieve a destabilization (stabilization) of a stable (unstable) BEC equilibrium in an experiment, a corresponding numerical nonlinear analysis is indispensable. Regardless, a linear stability analysis provides an intuitive and qualitative understanding of the physics of parametric resonance in BECs.

In the present letter we have focused our attention upon a periodic modulation of the s -wave scattering length around a slightly negative value, which restricts

the number of particles in a BEC to the order of a few thousand [46, 47]. However, the phenomenon of parametric resonance might be more important for dipolar BECs, where in addition to a repulsive short-range and isotropic interaction, also a long-range and anisotropic dipolar interaction between atomic magnetic or molecular dipoles is present. Provided that the dipolar interaction is smaller than the contact interaction, a stable dipolar BEC does exist. But a larger dipolar strength leads to mutual existence of both a stable and an unstable dipolar BEC [48] whose stability might be changed via a periodic modulation of the harmonic trap frequencies or the s -wave scattering length. In that context it might also be of interest to estimate how quantum fluctuations, which are non-negligible for a larger dipolar interaction strength [49], change the stability diagram. The case for dipolar Fermi gases is probably even more interesting from the point of view of parametric resonance, as for any dipolar strength a stable equilibrium coexists with an unstable one [50]. Thus, parametric resonance may offer a simple efficient approach for realizing equilibria of dipolar quantum gases whose properties have so far not yet been explored.

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* william.cairncross@queensu.ca

† <http://www.physik.fu-berlin.de/~pelster>

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